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(20

its of the Fermi energy and of the of the d bands.

nt. r	Derived from dHvA <sup>b</sup>	DFJ°	
0.5 0.3	-0.73	-0.85 -0.86	

V/V is the relative change of the volume

$$a_z = \partial (\ln b_d) / \partial c_{yz}$$
.

the list of strain coefficient ulate  $\partial L_1 / \partial e_{yz}$ . Its numeric is 24% lower than the on iments.

ow the theoretical coefficie changing the assumption (a) gives the dependence 0) to be valid. Figure 13(t  $\partial (\ln H_{\varphi d}) / \partial e_{yz}$  using  $\partial b_d / \partial c_{z}$ atomic d functions.37

on potentials  $\partial (E_F - L_3^u)/c$ at 2.1 eV) and  $\partial (L_1 - E_F)/\partial$ ) are used to calculate the and  $E_F$  relative to  $\Gamma_1$ . The y than the large experiment. mation potential might su: or is due to the uncertainty: , we note that the relativ ental value from the tru ual for the volume and the otentials.

mental volume deformation he sum  $\partial (L_1 - L_3^u) / \partial e$  c  $nE_d)/\partial e$ . In doing so, w tion factor  $\Omega^{-1/2}$  of  $b_d$  and

$$= \partial(\ln b_d)/\partial k$$
,

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Eq. (20). There are sever One possibility is to use the calculated with atomic  $L_1 - L_3^u)/\partial e$  which is 24 ntal one. Another choi  $)/\partial e_{yz}$  until the theoretic he experimental one [Fi k by the same factor, at ue of  $\partial (L_1 - L_3^u) / \partial e$ . T ins out to be the same loes not depend drastical bd and Hgd. Its numeric In Fig. 14,  $\partial(L_1-L_3^u)$ he strain coefficients of

and  $H_{\varphi d}$  in a fashion equivalent to that of Fig. 13. The ependence on  $\partial(\ln E_d)/\partial e$  is also included [Fig. (14(b)]. In calculating the volume coefficient of  $E_F$ , we use be experimental value of  $\partial(E_F - L_{3^u})/\partial e$ , the volume sefficient of  $E_d$  as calculated above, and Eq. (7), toather with the strain coefficients of the tight binding arameters (Table V). The resulting value of  $\partial (\ln E_F)/\partial e$ s given in Table VII.

Assuming no strain dependence of  $b_d$  and  $H_{\varphi d}$  at all except for  $\Omega^{-1/2}$ ), we find the theoretical values of  $\frac{\partial L_1}{\partial e_{yz}}$  and  $\frac{\partial (L_1 - L_3^u)}{\partial e}$  to be 62 and 50% of the corresponding experimental numbers [Eqs. 13(a) and 14(a)], respectively. This part of the deformation cotentials is mainly due to the strain dependence of  $k^2$ and, for hydrostatic deformation, to the strain depenlence of  $\Omega^{-1/2}$ .

## Discussion

The preceding analysis dealt with the observed structure in  $W_{ij}$ . A legitimate question is whether the energy bands predict more structure than actually observed. Pure shear strain will produce a significant change in  $\epsilon_2$  only for strongly or moderately localized transitions. Moreover, even if the transitions are localized but have k vectors of low symmetry (i.e., meither parallel to [001] nor to [111]), there will be a signal for both trigonal and tetragonal strain (Table III) and the signal will tend to be small. Looking for localized  $\Delta$ , X,  $\Lambda$ , and L singularities only, we expect the  $X_5 \rightarrow X_4'$  and the FS  $\rightarrow L_1$  transitions to show up between 2 and 5.5 eV, as they do, i.e., the measurements are complete. On the other hand, hydrostatic strain will produce a signal for nonlocalized transitions 100. Experimental examples are the maximum in  $W_{11}+2W_{12}$  at 2.1 eV and the shoulder at 4.8 eV.

The energies of the identified transitions agree to within  $\pm 0.1$  eV with the corresponding difference of the eigenvalues, calculated with Chodorow's<sup>26</sup> potential. Band-structure calculations based on potentials different from that of Chodorow deviate from experiment by as much as 1.5 eV. Table VIII compares the energies of the experimentally observed transitions with predictions of different calculations.<sup>13,14,42-44</sup> There are other experimental results which agree most closely with the result of the  $E(\mathbf{k})$  calculation based on Chodorow's potential, the most important of which is the area of the neck, measured with the de Haas-van Alphen effect. The experimental numbers which were re-examined recently<sup>45,46</sup> agree with the calculation13,14,42 to within 11%. For calculations with other

TABLE VIII. Energies of observed transitions in eV.

Energy	Experiment	Chodorowa l-dependentb		Watson <sup>®</sup>	Self- consistent <sup>d</sup>
EF-L3u	$2.1 \pm 0.1$	2.1	2.3	1.6	3.2
$X_4' - X_5$	$4.0 \pm 0.1$	4.0	4.7	3.1	5.5
$L_1 - E_F$	$4.15 \pm 0.1$	4.0	5.15	3.9	

References 13 and 14.
References 13.
References 42 and 43.
Table II of Ref. 44.

potentials one might not get contact of the Fermi surface with the [111] face of the BZ at all.<sup>42</sup>

Thus, the experimental evidence for the superiority of the band structure calculated with Chodorow's potential is overwhelming. However, there is no theoretical formalism known today which tells us that we have to choose just this potential. For example, a self-consistent augmented-plane-wave calculation as the one reported by Snow and Waber<sup>44</sup> will agree with the experimental results once the exchange term is properly adjusted, but there is no theoretical justification for such an adjustment.

Zallen<sup>47</sup> measured the change of the reflectance with volume applying hydrostatic pressure directly to the crystal. His results are also listed in Table VI. He could quote only a lower limit for the deformation potential of the 2.1-edge. Our method is much more sensitive here because the large slope of the edge produces a large  $\Delta \epsilon_2$  even for the small deformation potential. The two experiments are of comparable accuracy in terms of energy shifts for the 4.3-eV edge. The modulation experiment lost part of its advantage here because the slope is smaller and the slope of the background unknown. The results of the two measurements agree within the experimental error.

Objections might be raised against the procedure used here to calculate the deformation potentials. In particular, one ought to construct the tight-binding functions d from resonance functions rather than from atomic orbitals, as discussed by Heine.<sup>39</sup> However, this would have little effect on the d-sp overlap  $b_d$ , because the largest contribution to this integral comes from regions where the resonance function and the atomic d function are identical (the maximum of the integrand lies at 0.53 of the nearest-neighbor distance). The calculated strain coefficients of the tight-binding integrals  $\sigma$ ,  $\pi$ ,  $\delta$  (Table V) are higher than predicted by Heine's theory, which would give  $R\partial(\ln\beta)/\partial R = -5$  $(\beta = \sigma, \pi, \delta)$ , but their influence on the deformation potentials is small. Furthermore, it is not clear how the theory of Heine has to be modified if one abandons the muffin-tin approach, i.e., for overlapping potentials.

Two other calculations of the hydrostatic deformation potentials are known.43,48 Both are listed in

48 R. Jacobs (private communication).

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<sup>&</sup>lt;sup>4</sup> J. S. Faulkner, H. L. Davis, and H. W. Joy, Phys. Rev. 161, 56 (1967).

<sup>&</sup>lt;sup>4</sup> H. L. Davis, J. S. Faulkner, and H. W. Joy, Phys. Rev. 167 (1)1 (1968).

<sup>&</sup>lt;sup>44</sup> E. C. Snow and J. T. Waber, Phys. Rev. 157, 570 (1967). <sup>45</sup> J. P. Jan and M. Templeton, Phys. Rev. 161, 556 (1967). <sup>46</sup> W. J. O'Sullivan and J. W. Schriber, Cryogenics 7, 118 1967).

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